

JC20 Rec'd PCT/PTO 27 APR 2005

FORM PTO 1390
(REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER
2005_0731A

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371

U.S. APPLICATION NO.
10/532767
NEW

International Application No.
PCT/JP2003/013404

International Filing Date
October 21, 2003

Priority Date Claimed
October 28, 2002

Title of Invention

SEMICONDUCTOR LASER DRIVING DEVICE, OPTICAL HEAD DEVICE, OPTICAL INFORMATION PROCESSING
DEVICE, AND OPTICAL RECORDING MEDIUM

Applicant(s) For DO/EO/US
Tomotada KAMEI


Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau as indicated on the attached Form PCT/IB/308. **ATTACHMENT A**
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. §371(c)(2)). **ATTACHMENT B**
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). **ATTACHMENT C**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. **ATTACHMENT D**
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. **ATTACHMENT E**
13. ☒ A **FIRST** preliminary amendment. **ATTACHMENT F**
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☒ Other items or information: a. Submission of Replacement Drawings **ATTACHMENT G**
 b. Notification Concerning Submission or Transmittal of Priority Document, Form PCT/IB/304. **ATTACHMENT H**

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

U.S. APPLICATION NO. 10/532767 NEW		INTERNATIONAL APPLICATION NO. PCT/JP2003/013404		ATTORNEY'S DOCKET NO. 2005_0731A	
15. [X] The following fees are submitted Basic National Stage Fee \$300.00 National Stage Search Fee \$400.00 National Stage Examination Fee \$200.00 Specification/drawings in excess of 100 pages (units of 50 x \$250.00) = \$				CALCULATIONS	PTO USE ONLY
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$900.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	14-20 =	0	X \$50.00	\$	
Independent Claims	1 - 3 =	0	X \$200.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$900.00	
<input type="checkbox"/> Small Entity Status is hereby asserted. Above fees are reduced by 1/2.				\$	
SUBTOTAL =				\$900.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
TOTAL NATIONAL FEE =				\$900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$940.00	
				Amount to be refunded	\$
				Amount to be charged	\$
a. [X] A check in the amount of \$ <u>940.00</u> to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 23-0975 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u> .					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
19. CORRESPONDENCE ADDRESS <div style="text-align: center; margin-top: 100px;"> CUSTOMER NO. 000513 </div>			By:  Jeffrey R. Filipek , Registration No. 41,471 WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone:(202) 721-8200 Fax:(202) 721-8250 April 27, 2005		

10/532767

JC20 Rec'd PCT/PTO 27 APR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Tomotada KAMEI : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2005_0731A
Filed April 27, 2005 :
SEMICONDUCTOR LASER DRIVING :
DEVICE, OPTICAL HEAD DEVICE, :
OPTICAL INFORMATION PROCESSING : **THE COMMISSIONER IS AUTHORIZED**
DEVICE, AND OPTICAL RECORDING : **TO CHARGE ANY DEFICIENCY IN THE**
MEDIUM : **FEES FOR THIS PAPER TO DEPOSIT**
[Corresponding to PCT/JP2003/013404 : **ACCOUNT NO. 23-0975**
Filed October 21, 2003]

SUBMISSION OF REPLACEMENT DRAWINGS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

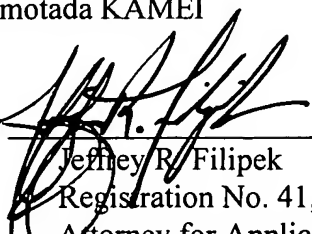
Sir:

3 sheets of formal (A4) drawings (Figs. 1, 10, and 19) are submitted herewith.

Respectfully submitted,

Tomotada KAMEI

By


Jeffrey R. Filipek
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Attorney for Applicant

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April 27, 2005

ATTACHMENT G